Organizational Effectiveness Committee Activities Report

30 June 2020

Section I: Completed Activities[1] – Q1 through Q2 2020

Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last 6 months and longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.

Item	Description	Completion Date	Status
Competition, Consumer Trust and Consumer Choice (CCT) Review (Implementation Planning)	Following Board action on CCT Final Recommendations, ICANN org posted Draft Implementation Plan for 6 Accepted Recommendations for public comment and provided an update to the Board on the status of pending recommendations in December 2019.	26 January 2020	● The Board accepted the Plan for Implementation of 6 CCT Accepted Recommendations during their workshop in January 2020.
Registration Directory Service (RDS) Review (Implementation Planning)	Board takes action on 22 recommendations.	25 February 2020	● Board took action on recommendations, approving 15, placing 4 into pending status, and rejecting 2.
RSSAC2 Review (Implementation Planning)	RSSAC completed its implementation planning.	12 March 2020	● Board accepted the RSSAC Review Detailed Implementation Plan. ● Status reports will be submitted every six months starting in December 2020 - see Section II.
SSAC2 Review (Implementation Planning)	SSAC completed its implementation planning.	12 March 2020	● The Board accepted the SSAC Review Detailed Implementation Plan. ● Status reports will be submitted every six months starting in December 2020 – see Section II.

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[1] Organizational and Specific reviews have a long life-cycle, with multiple phases and durations. For the purposes of this reporting, completed activities include milestones and achievements that demonstrate progression of these reviews by phase.

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Section II: In-Progress Activities

Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last 6 months and longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.

Item	Description	Target Completion Date	Status
Competition, Consumer Trust and Consumer Choice (CCT) Review (Implementation)	The CCT Review focuses on the impact of new gTLDs in the areas of competition, consumer trust and consumer choice. The Review Team began its work in January 2016 and concluded in September 2018.	Q3 2020	• Work on Pending Recommendations is progressing. Board is expected to take action on a subset of Pending Recommendations in August 2020 • An update was provided to the Board Caucus Group in May 2020 to discuss a subset of Pending Recommendations. Subsequent meetings with the Caucus Group will take place towards end of July 2020. • An update will be shared with the full Board on progress made to date in July 2020
Registration Directory Service (RDS) Review (Implementation Planning)	The RDS review looks to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data. The Review Team was formed in June 2017.	Q3 2020	• Implementation planning is currently in progress.
ATRT3 (Board consideration)[2]	The ATRT Review assesses ICANN's accountability and transparency efforts..	December 2020	• ATRT3 posted its Draft Report for Public Comment in December 2019 and the public comment closed in January 2020. • The ATRT3 issued its Final Report, which was received by the Board in June 2020.

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[2] The OEC oversees the development and maintenance of Review Framework that encapsulates the policies, processes and procedures applicable to the conduct of Reviews and ensures adherence to Operating Standards, Bylaws, and best practices.

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Item	Description	Target Completion Date	Status
			• Public Comment on the Final Report was opened in June 2020 and will close in July 2020. • Board will take action by December 2020.
ccNSO2 Review (Board Action /Implementation Planning)	OEC will consider the final report of the independent examiner, along with the Feasibility Assessment and public comments, in making a recommendation for Board action.	October 2020	• The ccNSO is preparing its Feasibility Assessment. • Board is expected to take action in October 2020.
At-Large2 Review (Implementation)	At-Large concluded its implementation effort.	September 2020	• At-Large submitted its final implementation report to the OEC. • Board action is anticipated in September 2020.
Second Security, Stability, and Resiliency (SSR2) Review[2] (Conduct Review)	The SSR2 review focuses on ICANN's execution of its commitment to enhance the operational stability, reliability, resiliency, security, and global interoperability of the systems and processes, both internal and external, that directly affect and/or are affected by the Internet's system of unique identifiers that ICANN coordinates.	October 2020	• Public comment on the Draft Report was issued on 24 Jan 2020 and was extended to 20 March 2020. • The SSR2 continues its work, analyzing results of public comments and finalizing recommendations. • The Review Team's Final Report is anticipated in October 2020.
Annual Review Implementation Report	Board agreed to incorporate the Annual Review Implementation Report (ARIR) into the overall ICANN Annual Report.	October 2020	• The Annual Review Implementation Report for FY20 will be included in the ICANN FY20 Annual Report.
IANA Naming Function Review (IFR) (Conduct Review)	The OEC oversees the progress of the IFR.	December 2020	• The Board passed a resolution 2019.09.08.05 to update the Review Team composition as defined in Bylaws. • Review is in progress with the Final Report target date of December 2020.
Streamlining of Org and Specific Reviews	The second round of organizational reviews being almost complete, the community has raised issues that indicate the reviews would benefit from streamlining and the Board has concurred that the organizational review process would benefit from adjustments. ATRT3 has made a number of proposals to improve organizational and specific reviews that addresses some of the Board-identified problems. The OEC will consider	December 2020	• The formal streamlining process is on hold until the Board acts on the ATRT3 recommendations, taking into account community input during the Bylaws-mandated public comment period. • Once streamlining gets underway it will be aligned with the work of the Board Caucus Group on Budgeting and Prioritization of Community Recommendations.

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Item	Description	Target Completion Date	Status
	problems with the way reviews are currently conducted, potential solutions offered by the ATRT3 recommendations and other work, as it considers the overarching need to streamline reviews to achieve more impact.		• Following a request from the OEC, ICANN org has provided a comparative analysis of the Board-identified problems with reviews, the ATRT3 recommendations, and what problems remain as well as what new problems the ATRT3 recommendations may bring. • Based on the comparative analysis, OEC asked ICANN org to provide a document that allows for easy tracking of all identified problems.
RSSAC2 Review (Implementation of recommendations)	Based upon the Board acceptance of the RSSAC Review Detailed Implementation Plan (noted in Section I), the implementation of recommendations is in progress.	April 2021	• RSSAC submitted its first implementation progress report to the OEC in June 2020. Further updates will be published every six months until fully implemented with the next progress report due in December 2020.
SSAC2 Review (Implementation of recommendations)	Based upon the Board acceptance of the SSAC2 Review Implementation Plan (noted in Section I), the implementation of recommendations is in progress.	April 2021	• SSAC submitted its first implementation progress report to the OEC in June 2020. Further updates will be published every six months until fully implemented with the next progress report due in December 2020.
Address Supporting Organization Review (ASO3) (Review Planning)	New review cycle begins in 2021.	April 2021	• Initiate planning for ASO3 review.
NomCom2 Review (Implementation)	Based upon the Board acceptance of the NomCom Review Implementation Plan, the implementation of recommendations is in progress.	2022	• The NomCom Review Implementation Working Group (NomCom RIWG) conducted a webinar during ICANN68 Prep week. • NomCom Review Implementation Working Group submitted its first implementation progress report to the OEC on 30 June 2020 • Next progress report due in December 2020.

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Appendix I: Resolutions Recommended by the OEC to the Board

Date	Resolution Title	Category	Status	Resolution Number	Topic	Summary
26 Jan 2020	Competition, Consumer Trust and Consumer Choice (CCT) Review – Implementation Plan on Six Accepted Recommendations	gTLDs	Complete	2020.01.26.05	CCT Review – Implementation Plan on Six Accepted Recommendations	The Board directs ICANN to commence implementation of the accepted CCT-RT recommendations as proposed in the Plan for Implementation
25 Feb 2020	Registration Directory Service Review (RDS-WHOIS2) Final Report and Recommendations	Board	Complete	2020.02.25.01 – 2020.02.25.06	RDS-WHOIS2 Final Report and Recommendations	The Board takes action on each of the 22 recommendations issued within the RDS-WHOIS2-RT Final Report
12 Mar 2020	Acceptance of the Second Organizational Review of the Security and Stability Advisory Committee (SSAC2) Detailed Implementation Plan	Board	Complete	2020.03.12.05 – 2020.03.12.08	SSAC2 Detailed Implementation Plan	The Board accepts the SSAC2 Review Detailed Implementation Plan, including the implementation approach contained within
12 Mar 2020	Acceptance of the Second Organizational Review of the Root Server System Advisory Committee (RSSAC2) Detailed Implementation Plan	Board	Complete	2020.03.12.09 – 2020.03.12.13	RSSAC2 Detailed Implementation Plan	The Board accepts the RSSAC2 Review Detailed Implementation Plan, including the implementation approach contained within

Appendix II: Current Status of Reviews (from icann.org)

Specific Reviews Currently Active	Status	Additional Resources
Accountability and Transparency (ATRT3)	The ATRT3 Final Report is available for Public Comment to inform Board action on the ATRT3's final recommendations. Per the ICANN Bylaws (Section 4.6(a)(vii)(C)), the Board shall consider the final report within six months of receipt of the final report, i.e. by 1 December 2020.	Latest news and updates: Wiki Workspace Historical milestones, outputs, and final deliverables: Review Home Page on ICANN.org. Review data: Fact Sheet
Competition, Consumer Trust, and Consumer Choice (CCT)	On 1 March 2019, the Board took action on each of the 35 recommendations, as specified within the scorecard titled "Final CCT Recommendations: Board Action (1 March 2019)".	Latest news and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet
Registration Directory Service Review formerly "WHOIS" (RDS-WHOIS2)	The Final Report and Recommendations were submitted to the Board on 3 September 2019, and submitted for public comment on 8 October 2019. The Public Comment proceeding aims at gathering community input on the RDS-WHOIS2 Review Team's proposed findings and final recommendations.	Latest news and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet

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Security, Stability, and Resiliency of the Domain Name System (SSR2)	The SSR2 Review Team is in the process of considering public comments received on its draft report. The SSR2 Review Team will amend the report as it deems appropriate and in the public interest before submitting its final report to the Board. The final report will be published for Public Comment in advance of the Board's consideration.	Latest news and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet

Organizational Reviews currently active	Status	Additional Resources
Address Supporting Organization (ASO2)	The NRO is currently implementing the recommendations detailed in the Final Report of the independent examiner. The remaining items require updates to the MoU between NRO and ICANN.	Find essential milestones, outputs, and final deliverables on the ASO Review Home Page on ICANN.org.
At-Large (At-Large2)	At-Large adopted a detailed implementation plan and implementation is underway. The ALAC has submitted its second six-monthly implementation progress report to the OEC. The At-Large plans to complete implementation in 2020.	Find latest news and progress updates on the At-Large/ALAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the At-Large Review Home Page on ICANN.org.
Country Code Names Supporting Organization (ccNSO2)	ccNSO is conducting a Feasibility Assessment and Initial Implementation Plan with respect to the recommendations submitted in the Independent Examiner's final report. The Assessment should contain a response to the findings and recommendations, including	Find historical milestones, outputs, and final deliverables on the ccNSO Review Home Page on ICANN.org.

	rationale in cases of disagreement, as well as thoughts on how recommendations can/will be implemented.	
Generic Names Supporting Organization (GNSO2)	The Board accepted the GNSO's final implementation plan in January 2019. The GNSO3 review, starting June 2021, will mark the third round of organizational reviews.	Find latest news and progress updates on the GNSO Review Wiki Workspace and historical milestones, outputs, and final deliverables on the GNSO Review Home Page on ICANN.org.
Nominating Committee (NomCom2)	The Board accepted the NomCom Review Detailed Implementation Plan and directed the NomCom Review Implementation Working Group to commence implementation in accordance with the Detailed Implementation Plan, and to provide written implementation updates to the Organizational Effectiveness Committee every six months.	Find latest news and progress updates on the NomCom Review Wiki Workspace and historical milestones, outputs, and final deliverables on the NomCom Review Home page on ICANN.org.
Root Server System Advisory Committee (RSSAC2)	The Board accepted the RSSAC2 Review Detailed Implementation Plan and directed the RSSAC2 Review Implementation Work Party to commence implementation in accordance with the Detailed Implementation Plan, and to provide written implementation updates to the Organizational Effectiveness Committee every six months.	Find latest news and progress updates on the RSSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the RSSAC Review Home Page on ICANN.org.
Security and Stability Advisory Committee (SSAC2)	The Board accepted the SSAC2 Review Detailed Implementation Plan and directed the SSAC2 Review Implementation Work Party to commence implementation in	Find latest news and progress updates on the SSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the SSAC Review Home Page on ICANN.org.

	accordance with the Detailed Implementation Plan, and to provide written implementation updates to the Organizational Effectiveness Committee every six months.	