Organizational Effectiveness Committee Activities Report

31 December 2020

Section I: Completed Activities[1] – Q3 through Q4 2020

Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last six months and longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.

Item	Description	Completion Date	Status
At-Large 2 Review (ALAC) (Board Action| Conclusion of Implementation)	The Board accepted the Final Report of the ARIWG in order to conclude the second organizational review of the At-Large.	10 September 2020	● The Board requests the ALAC to provide progress updates to the Organizational Effectiveness Committee (OEC) on the outstanding implementation steps on two recommendations.
Competition, Consumer Trust and Consumer Choice (CCT) Review (Board Action)	Board took action approving 11 of the 17 pending CCT recommendations.	22 October 2020	● The remaining six recommendations will be held for further consideration after gathering additional information. ● Implementation of six recommendations the Board accepted in March 2019, subject to costing and implementation activities, is underway, as documented in the Plan for Implementation the Board approved in January 2020 .
Accountability and Transparency (ATRT3) Review (Board Action)	The Board resolved to approve the five recommendations in the ATRT3 Final Report, comprised of 15 component parts, as enumerated in the scorecard.	30 November 2020	● The approved recommendations to be subject to prioritization efforts, with the exception of Recommendation 5, which itself calls for a prioritization process. ● Implementation of some of the recommendations, such as the changes to Specific and Organizational reviews, will require changes to ICANN's Bylaws. These changes will be subject to the established Bylaws change process, which includes an opportunity for the ICANN community to review.

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[1] Organizational and Specific reviews have a long life-cycle, with multiple phases and durations. For the purposes of this reporting, completed activities include milestones and achievements that demonstrate progression of these reviews by phase.

Sponsoring Committee	The OEC agreed with the recommendation from the Board Governance Committee that the OEC be the sponsoring committee for the following Board Caucus Groups: ATRT3, SSR2, CCT, Budgeting and Prioritization of Community Recommendations (BPCR) and WS2.	December 2020	Accepted. Confirmed that at least one member of the OEC is also a participant in each of the relevant Board Caucus Groups.

Section II: In-Progress Activities

Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last six months or longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.

Item	Description[2]	Target Completion Date	Status

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[2] Description of Review activities, for which the OEC provides oversight.

Second Security, Stability, and Resiliency (SSR2) Review[2] (Conduct Review)	The SSR2 continues its work, analyzing results of public comments and finalizing recommendations in the Final Report.	Q1 2021	● The Review Team's Final Report is anticipated in 1 Quarter 2021.
Competition, Consumer Trust and Consumer Choice (CCT) Review (Implementation Planning)	The CCT Review focuses on the impact of new gTLDs in the areas of competition, consumer trust and consumer choice. The Review Team began its work in January 2016 and concluded in September 2018.	Q1 2021	● Implementation planning for recommendations the Board approved in October 2020 is in progress. ● Implementation of six recommendations the Board accepted in March 2019, subject to costing and implementation activities, is underway, as documented in the Plan for Implementation the Board approved in January 2020
Registration Directory Service (RDS) Review (Implementation Planning)	The RDS review looks to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data. The Review Team began its work in September 2018 and concluded in September 2019. Note that the OEC will no longer oversee the implementation progress of the RDS Review based on recent changes to the sponsoring committee structure.	Q3 2021	● Implementation planning efforts for recommendations the Board approved in February 2020 are currently underway. ● For the four recommendations the Board placed in pending, an impact assessment of the outcomes of ongoing community work, for which dependencies were identified, needs to be produced after Board action on the Expedited Policy Development Process on Temporary Specification for gTLD Registration Data (EPDP) Phase 2 recommendations, as appropriate and applicable.
ATRT3 (Implementation Planning)[3]	The ATRT Review assesses ICANN's accountability and transparency efforts. After ATRT3 posted its Draft Report for Public Comment in December 2019 and the public	June 2021	● Recommendation prioritization assessment plan being developed.

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[3] The OEC oversees the development and maintenance of Review Framework that encapsulates the policies, processes and procedures applicable to the conduct of Reviews and ensures adherence to Operating Standards, Bylaws, and best practices.

	comment closed in January 2020, the Board approved the review recommendations on 30 November 2020.		• Planning for the Holistic Review and Continuous Improvement Program, replacing the Specific and Organizational Reviews, respectively, is in progress. • Pending reviews will be paused during the Holistic Review and Continuous Improvement Program initiatives.
ccNSO2 Review (Board Action /Implementation Planning)	On 11 August 2020, Independent Examiner presented their findings and recommendations listed in the final report, and ccNSO Review Working Party presented their Feasibility Assessment. Of the 15 recommendations listed in the final report, 13 issues and six recommendations were supported by the ccNSO Review Working Party, and two recommendations were considered already implemented.	1st QTR 2021	• OEC followed-up with the ccNSO on 9 December 2020 to inform of their evaluation of the Feasibility Assessment.
GNSO 3 Review (Plan Review)	In the letter of 12 October 2020 to the GSNO Council and Leaders, ICANN Board suggested a meeting in order to hear their views on the scheduling of the next GNSO review, considering recent developments and ATRT3 recommendations	TBD	• Letters were received from RySG, GNSO Council, RSG, CSG, confirming the need to pause the GNSO3 Review, while requesting more information on the Holistic Review resulting from ATRT3 recommendation.
NomCom2 Review (Implementation Update)	The NomCom Review Implementation Working Group (NomComRIWG) continued its implementation work including drafting suggested Bylaws amendments pertaining to five recommendations per the detailed NomCom2 Review detailed implementation plan.	December 2022	• A second progress report was submitted in December to the OEC, including suggested amendments to the ICANN Bylaws and rationale. • Next progress report is expected by 30 June 2021.
At-Large2 Review (Implementation Update)	At-Large concluded its implementation effort. The Board accepted the final report of the ARIWG 10 September 2020, thus concluding the review. ALAC provided a status update to the OEC on the two remaining issues "Member	June 2021	• ALAC to provide the OEC with progress updates on the two remaining issues "Member Engagement and Criteria" and "Absence of consistent performance metrics" by 30 June 2021.

	Engagement and Criteria" and "Absence of consistent performance metrics" in December 2020.		
RSSAC2 Review (Implementation of recommendations)	Following the Board acceptance of the RSSAC Review Detailed Implementation Plan, the RSSAC2 Review Implementation Work Party (RIWP) has started the implementation of the six recommendations.	April 2021	● The RSSAC2 Review Implementation Work Party submitted their second implementation progress report to the OEC in December 2020. ● Of the six recommendations, two have been implemented, two are in progress, and two have not yet been started, being dependent on the progress of the Root Server System Governance Working Group. Next update is due in six months.
SSAC2 Review (Implementation of recommendations)	The SSAC2 Review Implementation Work Party (RIWP) work on its second six-monthly detailed implementation report to the OEC, to report progress on the six remaining recommendations (9, 10, 18, 24, 25, and 29) being implemented.	April 2021	● The SSAC2 Review Implementation Work Party (RIWP) submitted its second six-monthly detailed implementation report to the OEC, reporting that all of the six remaining recommendations (9, 10, 18, 24, 25, and 29) have been either completed or integrated into ongoing SSAC processes, as documented in the SSAC Operational Procedures. ● The SSAC2 considers implementation of the SSAC Review to be complete.
Streamlining of Org and Specific Reviews	The second round of organizational reviews being almost complete, the community has raised issues that indicate the reviews would benefit from streamlining and the Board has concurred that the organizational review process would benefit from adjustments. ATRT3 has made a number of proposals to improve organizational and specific reviews that addresses some of the Board-identified problems. The OEC will consider problems with the way reviews are currently conducted, potential solutions offered by the ATRT3 recommendations and other work, as it considers the overarching need to streamline reviews to achieve more impact.	July 2021	● The formal streamlining process is on hold until the Board acts on the ATRT3 recommendations, taking into account community input during the Bylaws-mandated public comment period. ● Once streamlining gets underway it will be aligned with the work of the Board Caucus Group on Budgeting and Prioritization of Community Recommendations. ● Following a request from the OEC, ICANN organization has provided a comparative analysis of the Board-identified problems with reviews, the ATRT3 recommendations, and what problems

				remain as well as what new problems the ATRT3 recommendations may bring. ● Based on the comparative analysis, OEC asked ICANN org to provide a document that allows for easy tracking of all identified problems.
IANA Naming Function Review (IFR) (Conduct Review)	The OEC oversees the progress of the IFR.		March 2021	● The Board passed a resolution 2019.09.08.05 to update the Review Team composition as defined in Bylaws. ● Review is in progress with the Final Report target date of January 2021, and Board Resolution by March 2021

Appendix I: Resolutions Recommended by the OEC to the Board

Date	Resolution Title	Category	Status	Resolution Number	Topic	Summary
10 Sept 2020	Acceptance of At-Large2 Review Final Implementation Report	Board	Complete	2020.09.10.08 – 2020.09.10.10	At-Large2 Final Implementation Report	The Board accepted the Final Report of the ARIWG in order to conclude the second organizational review of the At-Large and requests the ALAC to provide progress updates to the OEC on the outstanding implementation steps on two recommendations.

22 Oct 2020	Competition, Consumer Trust, Consumer Choice Review (CCT-RT) Pending Recommendations	Board	Complete	2020.10.22.03 – 2020.10.22.05	Competition, Consumer Trust, Consumer Choice Review (CCT-RT) Pending Recommendations	The Board approved 11 of the 17 pending recommendations, and acknowledged that additional time is required to conclude actions pertaining to six pending recommendations, which, in some instances, are tied to community dependencies.
30 Nov 2020	Third Accountability and Transparency Review Team (ATRT3) Final Report	Board	Complete	2020.11.30.01 – 2020.11.30.02	Third Accountability and Transparency Review Team (ATRT3) Final Report	Board approves five recommendations consisting of fifteen component parts within the Final Report as detailed in the Scorecard.

Appendix II: Current Status of Reviews (from icann.org)

Specific Reviews Currently Active	Status	Additional Resources
Accountability and Transparency (ATRT3)	The ATRT3 Final Report was approved by the Board on 30 November 2020. The recommendations will now move into the Implementation phase.	Latest news and updates: Wiki Workspace Historical milestones, outputs, and final deliverables: Review Home Page on ICANN.org. Review data: Fact Sheet
Competition, Consumer Trust, and Consumer Choice (CCT)	On 22 October 2020, the Board took action approving 11 of the 17 pending CCT recommendations, leaving the remaining six recommendations for further consideration after gathering additional information.	News and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet
Registration Directory Service Review formerly "WHOIS" (RDS-WHOIS2)	The Board took action on the RDS' 22 recommendations in February 2020, approving 15 recommendations (in whole or in part) for implementation phase, and placing four recommendations in pending status.	News and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet
Security, Stability, and Resiliency of the Domain Name System (SSR2)	The SSR2 Review Team is in the process of completing its Final Report for	Latest news and updates: Wiki Workspace

	presentation to the Board in 1 Quarter 2021.	Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet

Organizational Reviews currently active	Status	Additional Resources
Address Supporting Organization (ASO2)	The NRO is currently implementing the recommendations detailed in the Final Report of the independent examiner. The remaining items require updates to the MoU between NRO and ICANN.	Find essential milestones, outputs, and final deliverables on the ASO Review Home Page on ICANN.org.
At-Large (At-Large2)	The Board accepted the final implementation report in September 2020 and requested the ALAC to provide the OEC with progress updates on the two remaining issues "Member Engagement and Criteria" and "Absence of consistent performance metrics" by 31 December 2020.	Find latest news and progress updates on the At-Large/ALAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the At-Large Review Home Page on ICANN.org.
Country Code Names Supporting Organization (ccNSO2)	ccNSO conducted a Feasibility Assessment and Initial Implementation Plan with respect to the recommendations submitted in the independent examiner's final report. The	Find historical milestones, outputs, and final deliverables on the ccNSO Review Home Page on ICANN.org.

	Assessment contains a response to the findings and recommendations, including rationale in cases of disagreement, as well as thoughts on how recommendations can/will be implemented.	
Generic Names Supporting Organization (GNSO2)	The Board accepted the GNSO's final implementation plan in January 2019. The GNSO3 review, starting June 2021, will mark the third round of organizational reviews.	Find latest news and progress updates on the GNSO Review Wiki Workspace and historical milestones, outputs, and final deliverables on the GNSO Review Home Page on ICANN.org.
Nominating Committee (NomCom2)	The NomComRIWG has started implementation and submitted its first six-monthly implementation progress report to the OEC.	Find latest news and progress updates on the NomCom Review Wiki Workspace and historical milestones, outputs, and final deliverables on the NomCom Review Home page on ICANN.org.
Root Server System Advisory Committee (RSSAC2)	The RSSAC2 Review Implementation Work Party (RIWP) has started implementation and submitted its first six-monthly implementation progress report to the OEC.	Find latest news and progress updates on the RSSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the RSSAC Review Home Page on ICANN.org.

Security and Stability Advisory Committee (SSAC2)	The SSAC2 Review Implementation Work Party (RIWP) has started implementation and submitted its first six-monthly detailed implementation report to the OEC.	Find latest news and progress updates on the SSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the SSAC Review Home Page on ICANN.org.