

PTI / ICANN Planning Governance Proposal

PTI Bylaw Amendment Proposal

May 24 2023



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Background

- Public Technical Identifiers (PTI) was incorporated in August 2016 as an affiliate of ICANN, and, through contracts and subcontracts with ICANN, began performing the IANA functions on behalf of ICANN in October 2016

- Both the ICANN and PTI Bylaws contain requirements for ICANN's overall planning processes relating the IANA functions
 - The ICANN Bylaws mandate an IANA Operating Plan & Budget (OP&B)
 - The PTI Bylaws mandate both a PTI Strategic Plan and a PTI Operating Plan & Budget
 - These are all in addition to ICANN's main Operating Plan & Budget, Five-Year Operating Plan & Budget, and ICANN's Five Year Strategic Plan
 - The timing and processes for the PTI-related plans result in increased complexities and extra steps in the planning process for the Community, org, and ICANN Board

Introduction

- The [PTI Strategic Plan for 2021 to 2024](#) contains a strategic goal to reduce the complexities and duplication between what is in scope for IANA and ICANN planning processes and deliverables. Achievement of this strategic goal will simplify the planning process and enhance community engagement in the planning process
- The PTI Board, in coordination with ICANN's Planning Team, has identified certain PTI Bylaws changes that would achieve better alignment between ICANN and PTI processes in relation to PTI and IANA planning.
- The proposed Bylaws amendment remain aligned with the community's principles underlying the IANA Stewardship Transition.

Proposals

- ◉ Moving PTI from a four-year strategic planning cycle to a five-year strategic planning cycle, which will enable alignment with ICANN's five-year strategic planning work.
- ◉ Modifying the timing for the initial delivery of the PTI OP&B to the PTI Board, which is currently set to nine months prior to the start of the fiscal year, to a 90-day window. This will allow better alignment with the remainder of ICANN's planning processes.
- ◉ Integrate the IANA Operating Plan and Budget plans into the overall ICANN operating plan and budget documents

Proposals

1. Proposed Bylaw Amendment #1

- Background: Article 9, Section 9.3(a) of PTI's Bylaws mandate a four-year Strategic Plan with the annual mandated update of the Strategic Plan

Recommendation: Align the PTI Strategic Plan and its Bylaws requirements to the ICANN Strategic Plan and its Bylaws requirements. This recommendation requires a PTI Bylaws Amendment

- Align the PTI Strategic Plan period to the ICANN Strategic Plan period.
 - The recommendation is for a five-year PTI Strategic Plan instead of a four-year PTI Strategic Plan
- Align the annual update of the PTI Strategic Plan to ICANN's current process to evaluate whether an update to its Strategic Plan is needed
 - The recommendation suggests removing the mandated annual update of the PTI Strategic Plan and to evaluate strategic outlook trends and strategic risks in the same manner as is currently done for ICANN

2. Proposed Bylaw Amendment #2

- Background: Article 9, Section 9.2(a) of PTI's Bylaws mandates that the PTI Budget be presented to the PTI Board with a nine-month lead time

Recommendation: Align the PTI OP&B and its Bylaws requirements to the ICANN and IANA OP&B Bylaws requirements

This recommendation requires a PTI Bylaws Amendment

- Align the PTI OP&B development timing to the ICANN and IANA OP&B development timing
 - Upon implementing this recommendation, the annual OP&B process for PTI, IANA and ICANN can start at the same time rather than four / five months apart
- The overall annual OP&B process will be 11 or 12 months instead of 15 months

3. Recommended Process Change - For IANA OP&B

- Background: Currently, the IANA Operating Plan and Budget (OP&B) is published for public comment at the same time of the PTI plans which is earlier than the ICANN plans. This increases the number of planning documents and separate public comment proceedings ICANN publicly presents annually

Recommendation: Integrate the IANA OP&B into the ICANN OP&B

- This recommendation does not require any PTI Bylaws amendment, as the IANA OP&B is required by the ICANN Bylaws. This proposal recommends a process and timing change during the development of the IANA OP&B.
 - The recommendation is to have the IANA OP&B plans developed, published for public comment and adopted at the same time as the ICANN OP&B
 - This can be accomplished by revising the timeline and integrating the process while preserving the requirements for separate plans and empowered community steps for each IANA OP&B and ICANN OP&B

Impact of these Changes

PTI Strategic Plan Alignment to ICANN's Strategic Plan

- The next PTI Strategic Planning cycle will be for a five-year period of FY26-FY30, aligned with the ICANN five-year cycle
 - Following the Bylaw amendments, the current PTI Strategic Plan FY21-24 will be extended by one year to FY21-FY25

Integration of the Operating Plan and Budget Process

- Following the decision, the FY25 Planning process will be at the same time as the ICANN Planning process which results in the Draft Operating Plan and Budget publication in December 2023
 - All draft plans will be published for public comment at the same time, which is intend to simplify the process and synchronize the timing of the public comments for the Community

Key Steps and Timeline

Key Steps	Timeline
✓ PTI Board initiates Bylaws amendment process through its resolution	May 10 2023
✓ Public comment proceeding open	May 16, 2023
Community webinar	May 24, 2023
Community engagement at ICANN77	Jun 12-16, 2023
Public Comment Proceeding Ends	Jul 5, 2023
Publish public comment summary report	Aug 15, 2023
PTI Board considers Bylaws amendment approval	Mid - End of Aug, 2023
ICANN Board, as sole member of PTI, considers approval of the Bylaws amendment as approved by PTI	Sep 2023
Amendment goes into effect upon ICANN Board approval	Sep 2023

Questions and Answers



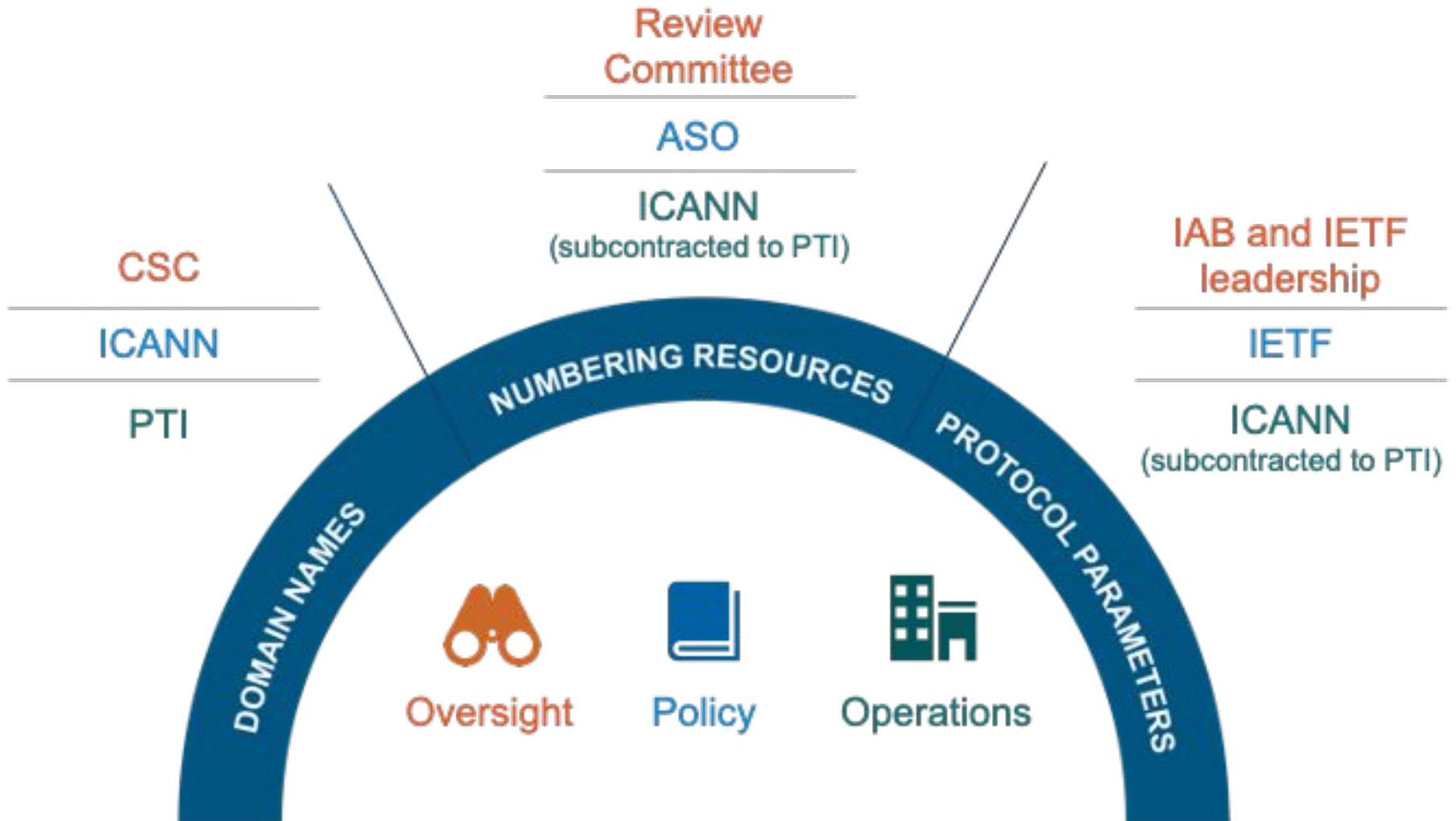
- ❖ Please raise your hand in zoom if you want to ask a question
- ❖ The Remote Participants Manager will manage the queue.
- ❖ Unmute your microphone to ask questions when is your turn
- ❖ Mute your microphone when not speaking



- ❖ Type your questions in the chat
- ❖ The Remote Participants Manager will read out your question(s).

Appendix

ICANN Ecosystem with PTI



PTI Strategic Plan Objective

The [PTI Strategic Plan for 2021 to 2024](#) contains a strategic goal to reduce complexity and duplication between what is in scope for IANA and ICANN planning processes and deliverables.

PTI | An ICANN Affiliate



GOVERNANCE

Strategic Objective: Support ICANN org in its **governance** efforts to sustain and improve openness, inclusivity, accountability, and transparency.

As the ICANN affiliate responsible for performing the IANA functions on behalf of ICANN, PTI must deliver services within service level agreements defined in the contracts. Managing such deliverables across several different agreements in an already complex multistakeholder model creates a situation where supporting ICANN on its governance efforts isn't straightforward. Simplifying the approach will be an important driver to achieve this objective.

PTI will achieve this objective by:

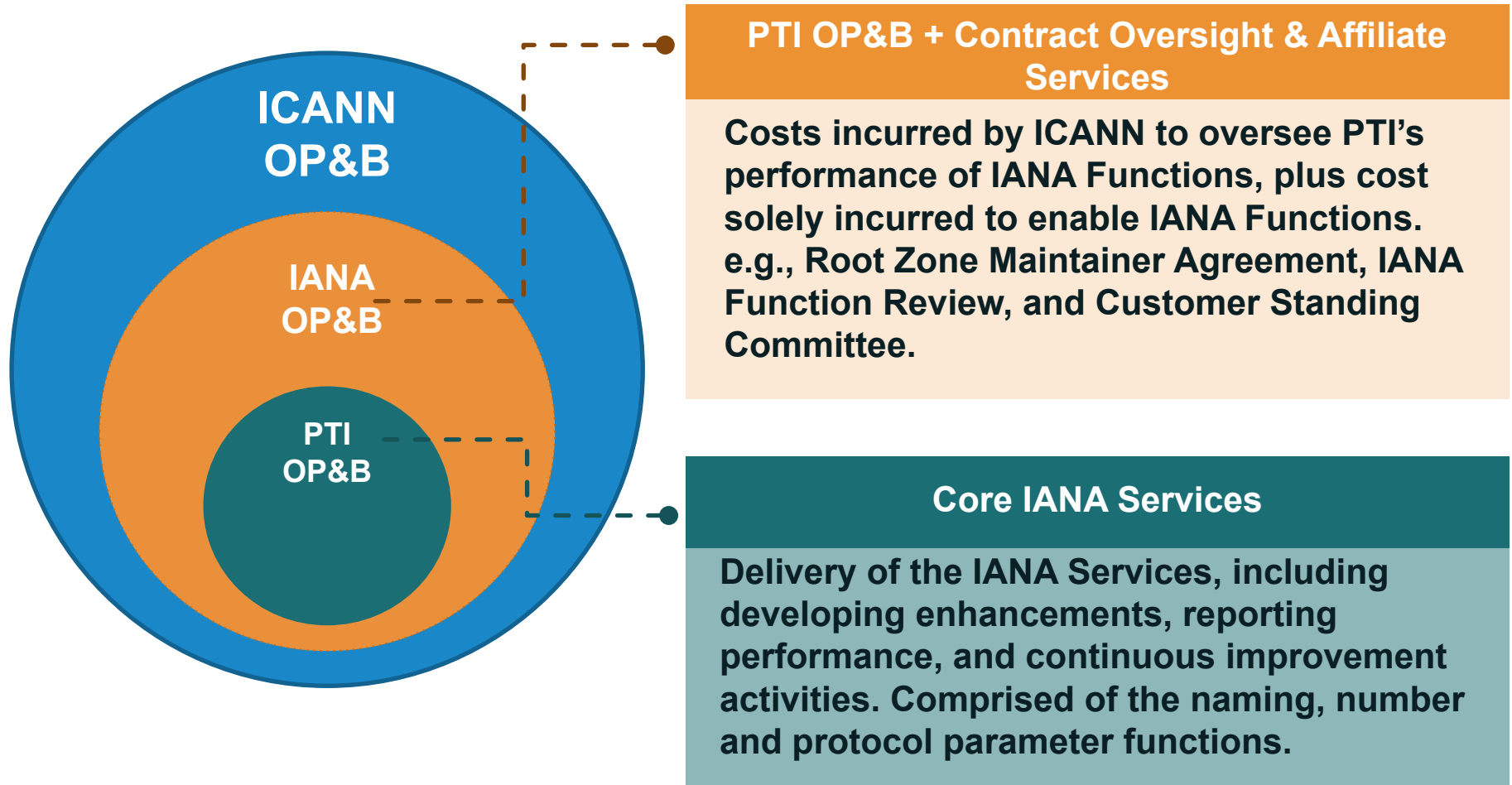
- 5.1 Supporting the various review processes conducted by the community that pertain to the IANA function.
- 5.2 Reducing unnecessary complexity and duplication between what is in scope for IANA and ICANN.
- 5.3 Identifying opportunities to streamline oversight agreements and contracts.

Targeted Outcomes

- Alignment of PTI strategic planning timeline to ICANN's five-year planning cycle.
- Alignment of Operating Plan and Budget timelines between ICANN and PTI processes.
- Complexity of oversight requirements is reduced or eliminated.
- ICANN org and community are aligned and educated about ICANN and PTI's remit in regard to the performance of the IANA functions.
- Changes to the intellectual property agreement and oversight documents that allow for clear communication and improved transparency.
- Participation of PTI staff in ICANN org efforts such as the Specific and Organization Reviews and policy development discussions as appropriate.

Scope of ICANN / IANA / PTI OP&B

The PTI and IANA Operating Plan and Budgets (OP&B) are components of the ICANN Operating Plan and Budget



ICANN and PTI Bylaws Mandates

